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# TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

	Application Number	10/737,271
	Filing Date	December 15, 2003
	First Named Inventor	Adrian Wing Fai Lo
	Art Unit	2633
	Examiner Name	
Attorney Docket No.		890050.450

Fee Transmittal Form	FNCLOSURES (check all that apply)							
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  Firm Name  Seed Intellectual Property Law Group PLLC  O0500  Signature  Printed Name  David V. Carlson  Reg. No. 31,153  CERTIFICATE OF TRANSMISSION/MAILING  I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below  Signature  Typed or printed name  Jason Anover  Date: 4/22/55	Fee Attached Amendment/Response After Final Affidavits/declaration(s Extension of Time Request Express Abandonment Request Information Disclosure Statement; Form PTO-1448 Cited References Certified Copy of Priority Document(s) Response to Missing Parts under 37 C.F.R. 1.52 or 1.5	Request for Corrected Filing Receipt Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation, Change of Correspondence Address Declaration Statement under 37 CFR 3.73(b) Terminal Disclaimer Request for Refund CD, Number of CD(s)	After Allowance Communication to TC Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Return Receipt Postcard Other Enclosure(s) (please					
Firm Name  Seed Intellectual Property Law Group PLLC  O0500  Signature  Printed Name  Date  Date  CERTIFICATE OF TRANSMISSION/MAILING  I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below  Signature  Typed or printed name  Jason Anover  Date: 4/22/05	Remarks							
Firm Name  Seed Intellectual Property Law Group PLLC  O0500  Signature  Printed Name  Date  Date  CERTIFICATE OF TRANSMISSION/MAILING  I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below  Signature  Typed or printed name  Jason Anover  Date: 4/22/05	SIGNAT	IRE OF APPLICANT ATTORNEY	OR AGENT					
Printed Name David V. Carlson  Date 4/22/05 Reg. No. 31,153  CERTIFICATE OF TRANSMISSION/MAILING  I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below  Signature  Typed or printed name Jason Anover Date: 4/22/05			Customer Number					
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Signature  Typed or printed name  Jason Añover  Date: 4/22/05								
1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	I hereby certify that this corres with the United States Postal S addressed to: Mail Stop Amer	pondence is being facsimile transmit Service with sufficient postage as firs adment, Commissioner for Patents, F	ted to the USPTO or deposited t class mail in an envelope					
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Mail Stop Amendment Commissioner for Patents, P.O. Box 1450, Alexandria, VA-22313-1450.

April 22, 2005

Jason Añoyer

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants** 

: Adrian Wing Fai Lo et al.

Application No.

10/737,271

Filed

December 15, 2003

For

AN OPTICAL MODULE AND A METHOD OF FABRICATING

THE SAME

Art Unit

: 2633

Docket No.

890050.450

Date

: April 22, 2005

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

#### Commissioner for Patents:

In accordance with 37 CFR 1.56 and 1.97 through 1.98, applicants wish to make known to the U.S. Patent and Trademark Office the references set forth on the attached Form PTO-1449. Copies of the cited U.S. patents and published patent applications are not required and accordingly have not been provided. Copies of all other cited references are enclosed. As to any reference cited, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 CFR 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they

are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

We hereby certify that each of the references set forth on the attached form PTO-1449 was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

Please acknowledge receipt of this Supplemental Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Applicants believe this Supplemental Information Disclosure Statement has been timely filed, however, the Director is authorized to charge any fee due by way of this Information Disclosure Statement to our Deposit Account No. 19-1090.

Respectfully submitted,

Seed Intellectual Property Law Group PLLC

David V. Carlson

Registration No. 31,153

### **Enclosures:**

Postcard Form PTO-1449 Cited References (3)

701 Fifth Avenue, Suite 6300 Seattle, Washington 98104-7092

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FORM PTO-12	49	U.S. DEPARTMEN
(REV 7-80)		PATENT AND TRA
	(3)	
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APR 2 3		(Use several sheets if necessary)
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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTY. DOCKET NO. APPLICATION NO. 890050.450 10/737,271

APPLICANTS

Adrian Wing Fai Lo et al.

FILING DATE

GROUP ART UNIT

December 15, 2003 2633

## **U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	2002/0048436	04/25/02	Nishikawa et al.	385	88	
	AB	2002/0110316	08/15/02	Kropp	385	24	
	AC						
	AD						
	AE					,	
	AF						
	AG						
	AH						
	Al						
	AJ	-				·	

## FOREIGN PATENT DOCUMENTS

	DOCUMENT	DATE	COUNTRY	TRANSLATION	
	NUMBER			YES	NO
AK	0 844 503 A1	05/27/98	EP		
AL	1 154 299 A1	11/14/01	EP		
AM					
AN					
AO					

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AP	Uno, T., et al., "Hybridly Integrated Optical Transceiver Module for Access Networks," in <i>Proceedings of the 48<sup>th</sup> IEEE Electronic Components and Technology Conference</i> , Seattle, WA, May 25-28, 1998, pp. 560-565.
	AQ	
	AR	

**EXAMINER** 

DATE CONSIDERED

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).